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PI Gaudin
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Eugene Smith
Marion C. Guthrie

- *Admitted only in Maryland
- *Admitted only in Virginia
- *Restricted Limited to Federal Agencies

WRITER'S DIRECT NUMBER:
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Hand Carry to Examiner Sharon L. Turner
Art Unit 1647

Re: U.S. Utility Patent Application
Application No. 10/791,905; Filed: March 4, 2004
For: **Human G-Protein Chemokine Receptor (CCR5) HDGNR10**
Inventors: LI *et al.*
Our Ref: 1488.115000P/EKS/HCC

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Second Supplemental Information Disclosure Statement;
2. PTO Form 1449 citing 84 documents;
3. A copy of 75 cited documents (AM21-AO21 and AR40-AT63); and
4. One return postcard.

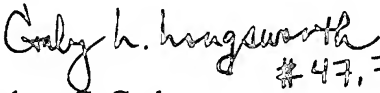
It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents
March 1, 2005
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.


#47,756
for Helene C. Carlson
Agent for Applicants
Registration No. 47,473

EKS/HCC/eaf
Enclosures

336774v1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Li *et al.*

Appl. No.: 10/791,905

Filed: March 4, 2004

For: **Human G-Protein Chemokine**

Receptor (CCR5) HDG NR10

Confirmation No.: 3954

Art Unit: 1647

Examiner: Sharon L. Turner

Atty. Docket: 1488.115000P/EKS/HCC

Second Supplemental Information Disclosure Statement

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Second Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' First Supplemental Information Disclosure Statement filed on January 14, 2005, in connection with the above-captioned application.

The Examiner's attention is directed to the following co-pending application:

U.S. Application No. 10/994,679 (our Docket No. 1488.115000Q), inventors Roschke *et al.*, filed November 23, 2004, not yet published (Document AR 64), which is directed to related technical subject matter. Pursuant to 37 C.F.R. § 1.183, a copy of this U.S. Patent Application is not provided (*see also* O.G. October 19, 2004). The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to this application now or upon issuance of the present application as a patent. The

Examiner is respectfully requested to consider the cited application and the art cited therein during examination.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- ☐ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign

patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.

- ☒ 2. Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed within three months of the date of filing of a national application other than a continued prosecution application (CPA), OR within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application, OR before the mailing date of a first Office Action on the merits OR before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No statement or fee is required.
- ☐ 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
- ☐ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

- ☐ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☐ c. Attached is our PTO-2038 Credit Card Payment Form in the amount of \$_____ in payment of the fee under 37 C.F.R. § 1.17(p).
- ☐ 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Enclosed find our PTO-2038 Credit Card Payment Form in the amount of \$_____ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:
- ☐ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

- ☐ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☐ 5. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.
- ☐ 6. A concise explanation of the relevance of the non-English language document(s) appears below in accordance with 37 C.F.R. § 1.98(a)(3).
- ☒ 7. Copies of documents AM21-AO21 and AR40-AT63 are submitted. However, in accordance with 37 C.F.R. § 1.98(a)(2), no copies of U.S. patents and patent application publications, documents AC4-AJ4, cited on the attached Form PTO-1449 are submitted.
- ☐ 8. Copies of the documents were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No. _____, filed

_____, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

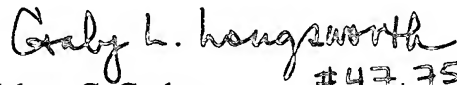
- ☐ 9. It is expected that the examiner will review the prosecution and cited art in the parent application no. _____ in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed Form PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

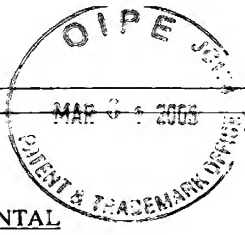
Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

for  #47,756
Helene C. Carlson
Agent for Applicants
Registration No. 47,473

Date: March 1, 2005

1100 New York Avenue, N.W.
Washington, D.C. 20005-3934
(202) 371-2600



FORM PTO-1449

**SECOND SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT**ATTY. DOCKET NO.
1488.115000P/EKS/HCCAPPLICATION NO.
10/791,905APPLICANT(S)
Li *et al.*FILING DATE
March 4, 2004ART UNIT
1647**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						
	AB						
	AC4	6,107,019	08/22/2000	Allaway <i>et al.</i>			06/13/1997
	AD4	6,344,545	02/05/2002	Allaway <i>et al.</i>			04/02/1997
	AE4	6,448,375	09/10/2002	Samson <i>et al.</i>			04/09/1997
	AF4	6,528,625	03/04/2003	Wu <i>et al.</i>			07/11/1997
	AG4	6,692,938	02/17/2004	Samson <i>et al.</i>			08/24/2001
	AH4	6,797,811	09/28/2004	Gray <i>et al.</i>			12/20/1996
	AI4	6,800,447	10/05/2004	Samson <i>et al.</i>			08/24/2001
	AJ4	20010000241	04/12/2001	Li <i>et al.</i>			11/29/2000
	AK						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL						Yes No
	AM21	WO 98/02151	01/22/1998	WIPO			Yes No
	AN21	WO 98/11218	03/19/1998	WIPO			Yes No
	AO21	WO 01/058915 A3	08/16/2001	WIPO			Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>40</u>	Baba, M., <i>et al.</i> , "A small-molecule, nonpeptide CCR5 antagonist with highly potent and selective anti-HIV activity," <i>Proc. Natl. Acad. Sci. U.S.A.</i> 96:5698-5703, National Academy of Sciences (May 1999)
	AS	<u>40</u>	BD Pharmingen™ Technical Data Sheet, "R-Phycoerythrin (R-PE)-Conjugated Mouse Anti-human Monoclonal Antibody," Revision 002, BD Biosciences Pharmingen (August 2004)
	AT	<u>40</u>	Björndal, A., <i>et al.</i> , "Coreceptor usage of primary human immunodeficiency virus type 1 isolates varies according to biological phenotype," <i>J. Virol.</i> 71(10):7478-7487, American Society for Microbiology (1997)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>41</u>	Blanpain, C., <i>et al.</i> , "Multiple charged and aromatic residues in CCR5 amino-terminal domain are involved in high affinity binding of both chemokines and HIV-1 Env protein," <i>J. Biol. Chem.</i> 274(49):34719-34727, American Society for Biochemistry and Molecular Biology (December 1999)
	AS	<u>41</u>	Blanpain, C., <i>et al.</i> , "Extracellular cysteines of CCR5 are required for chemokine binding, but dispensable for HIV-1 coreceptor activity", <i>J. Biol. Chem.</i> 274(27):18902-18908, American Society for Biochemistry and Molecular Biology (July 1999)
	AT	<u>41</u>	Bleul, C.C., <i>et al.</i> , "The HIV coreceptors CXCR4 and CCR5 are differentially expressed and regulated on human T lymphocytes", <i>Proc. Natl. Acad. Sci. U.S.A.</i> 94:1925-1930, National Academy of Sciences (1997)

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	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>42</u>	Chackerian, B., <i>et al.</i> , "Induction of autoantibodies to mouse CCR5 with recombinant papillomavirus particles," <i>Proc. Natl. Acad. Sci. U.S.A.</i> 96:2373-2378, National Academy of Sciences (1999)
	AS	<u>42</u>	Davies, B. and Morris, T., "Physiological parameters in laboratory animals and humans," <i>Pharmaceut. Res.</i> 10:1093-1095, Plenum Publishing Corp. (1993)
	AT	<u>42</u>	Dejucq, N., <i>et al.</i> , "Expanded tropism of primary human immunodeficiency virus type 1 R5 strains to CD4+ T-cell lines determined by the capacity to exploit low concentrations of CCR5," <i>J. Virol.</i> 73(9):7842-7847, American Society for Microbiology (1999)

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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>43</u>	Doranz, B.J., <i>et al.</i> , "A small-molecule inhibitor directed against the chemokine receptor CXCR4 prevents its use as an HIV-1 coreceptor," <i>J. Exp. Med.</i> 186(8):1395-1400, The Rockefeller University Press (October 1997)
	AS	<u>43</u>	Dragic, T., <i>et al.</i> , "A binding pocket for a small molecule inhibitor of HIV-1 entry within the transmembrane helices of CCR5," <i>Proc. Natl. Acad. Sci. U.S.A.</i> 97(10):5639-5644, National Academy of Sciences (May 2000)
	AT	<u>43</u>	Dürig, J., <i>et al.</i> , "Differential expression of chemokine receptors in B cell malignancies," <i>Leukemia</i> 15(5):752-756, Nature Publishing Group (May 2001)

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	AR	<u>44</u>	Dybul, M., <i>et al.</i> , "Guidelines for using antiretroviral agents among HIV-infected adults and adolescents. The panel on clinical practices for treatment of HIV," <i>Annals of Int. Med.</i> , 137(5):381-433, American College of Physicians (September 2002)
	AS	<u>44</u>	Farzan, M., <i>et al.</i> , "A Tyrosine-rich region in the N terminus of CCR5 is important for human immunodeficiency virus type 1 entry and mediates an association between gp120 and CCR5," <i>J. Virol.</i> , 72(2):1160-1164, American Society for Microbiology (February 1998)
	AT	<u>44</u>	Fleuridor, R., <i>et al.</i> , "CD1d-restricted natural killer T cells are potent targets for human immunodeficiency virus infection," <i>Immunology</i> 108(1):3-9, Blackwell Publishing Ltd. (January 2003)

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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>45</u>	Genoud, S., <i>et al.</i> , "CCR5-Mediated human immunodeficiency virus entry depends on an amino-terminal gp120-binding site and on the conformational integrity of all four extracellular domains," <i>J. Virol.</i> 73(2):1645-1648, American Society for Microbiology (February 1999)
	AS	<u>45</u>	He, J., <i>et al.</i> , "Human immunodeficiency virus type 1 viral protein R (Vpr) arrests cells in the G ₂ phase of the cell cycle by inhibiting p34 ^{cdc2} activity," <i>J. Virol.</i> 69(11):6705-6711, American Society for Microbiology (1995)
	AT	<u>45</u>	Holland, A.U., <i>et al.</i> , "α-complementation assay for HIV envelope glycoprotein-mediated fusion," <i>J. Virol.</i> 319:343-352, Elsevier Inc. (February 2004)

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OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>46</u>	Hong, Y-L., <i>et al.</i> , "New reporter cell lines to study macrophage-tropic HIV envelope protein- mediated cell-cell fusion," <i>AIDS Res. Hum. Retroviruses</i> 15(18):1667-1672, Mary Ann Liebert, Inc. (1999)
	AS	<u>46</u>	Howell, D.N., <i>et al.</i> , "Natural killing target antigens as inducers of interferon: studies with an immunoselected, natural killing-resistant human T-lymphoblastoid cell line," <i>J. Immunol.</i> 134:971-976, The American Association of Immunologists (1985)
	AT	<u>46</u>	Kedzierska, K., <i>et al.</i> , "The Influence of cytokines, chemokines and their receptors on HIV-1 replication in monocytes and macrophages," <i>Rev. Med. Virol.</i> 13:39-56, John Wiley and Sons, Ltd. (January-February 2003)

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	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>47</u>	Kilby, J.M. and Eron, J.J. "Novel therapies based on mechanisms of HIV-1 cell entry," <i>N. Engl. J. Med.</i> 384:2228-2238, Massachusetts Medical Society (May 2003)
	AS	<u>47</u>	Königs, C., <i>et al.</i> , "Monoclonal antibody screening of a phage-displayed random peptide library reveals mimotopes of chemokine receptor CCR5: implications for the tertiary structure of the receptor and for an N-terminal binding site for HIV-1 gp120," <i>Eur. J. Immunol.</i> 30:1162-1171, Wiley-VCH Verlag GmbH (April 2000)
	AT	<u>47</u>	Kottlilil, S., <i>et al.</i> , "Expression of chemokine and inhibitory receptors on natural killer cells: effect of immune activation and HIV viremia," <i>J. Infect. Dis.</i> 189:1193-1198, National Institute of Allergy and Infectious Diseases (April 2004)

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Li et al.

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	AR	<u>48</u>	Lachgar, A., <i>et al.</i> , "Binding of HIV-1 to RBCs involves the Duffy antigen receptors for chemokines (DARC)," <i>Biomed. & Pharmacother.</i> 52:436-439, Elsevier (1998)
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FORM PTO-1449

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	AS	59	Thormeyer, D., <i>et al.</i> , "Characterization of lacZ complementation deletions using membrane receptor dimerization," <i>BioTechniques</i> 34:346-355, Eaton Publishing (February 2003)
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	AR	<u>61</u>	Unutmaz, D. and Littman, D.R. "Expression pattern of HIV-1 coreceptors on T cells: Implications for viral transmission and lymphocyte homing," <i>Proc. Natl. Acad. Sci. U.S.A.</i> 94:1615-1618, The National Academy of Sciences (1997)
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	AT	<u>61</u>	Wahl, S.M., <i>et al.</i> , "Permissive factors for HIV-1 infection of macrophages," <i>J. Leukoc. Biol.</i> 68(3):303-310, The Society of Leukocyte Biology (September 2000)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449

ATTY. DOCKET NO.
1488.115000P/EKS/HCCAPPLICATION NO.
10/791,905SECOND SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENTAPPLICANT(S)
Li *et al.*FILING DATE
March 4, 2004ART UNIT
1647

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>62</u>	Wahl, S.M., <i>et al.</i> , "Viral and host cofactors facilitate HIV-1 replication in macrophages," <i>J. Leukoc. Biol.</i> 74(5):726-735, The Society of Leukocyte Biology (November 2003)
	AS	<u>62</u>	Wang, Z., <i>et al.</i> , "CCR5 HIV-1 coreceptor activity. Role of cooperativity between residues in N-terminal extracellular and intracellular domains," <i>J. Biol. Chem.</i> 274(40):28413-28419, American Society for Biochemistry and Molecular Biology, Inc. (1999)
	AT	<u>62</u>	Weinhold, K.J., <i>et al.</i> , "Measurement of direct and indirect forms of anti-HIV-1 ADCC: Implications for other retroviral disease," <i>Dev. Biol. Standard</i> 72:343-348, 21 st Congress of the IABS on Progress in Animal Retroviruses, S. Karger (1990)

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FORM PTO-1449 SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET No.	APPLICATION No.
	1488.115000P/EKS/HCC	10/791,905
	APPLICANT(S) Li <i>et al.</i>	
	FILING DATE March 4, 2004	ART UNIT 1647

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
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FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	<u>63</u>	Welply, J.K., <i>et al.</i> , "β-Galactosidase α-complementation," <i>J. Biol. Chem.</i> 256(13):6804-6810, American Society for Biochemistry and Molecular Biology, Inc. (1981)
AS	<u>63</u>	Woods, T.C., <i>et al.</i> , "Loss of inducible virus in CD45RA naive cells after human immunodeficiency virus-1 entry accounts for preferential viral replication in CD45RO memory cells," <i>Blood</i> 89(5):1635-1641, American Society of Hematology (1997)
AT	<u>63</u>	Wu, L., <i>et al.</i> , "CCR5 levels and expression pattern correlate with infectability by macrophage-tropic HIV-1, in vitro," <i>J. Exp. Med.</i> 185(9):1681-1691, The Rockefeller University Press (May 1997)

EXAMINER

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FORM PTO-1449

**SECOND SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT**

ATTY. DOCKET No.
1488.115000P/EKS/HCC

APPLICATION No.
10/791,905

APPLICANT(S)
Li *et al.*

FILING DATE
March 4, 2004

ART UNIT
1647

U.S. PATENT DOCUMENTS

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	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>64</u>	Co-pending U.S. Application No. 10/994,679, inventors Roschke, V. <i>et al.</i> , filed November 23, 2004 (NOT PUBLISHED)

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